

# NILPOTENT SUPERGRAVITY AND APPLICATIONS

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Based on :

- I.Antoniadis, E.D., S.Ferrara and A. Sagnotti, Phys.Lett.B733 (2014) 32 [arXiv:1403.3269 [hep-th]].
- E.D., S.Ferrara, A.Kehagias and A.Sagnotti, JHEP 1509 (2015) 217 [arXiv:1507.07842 [hep-th]].
- G. Dall'Agata, E.D., F.Farakos, JHEP 1605 (2016) 041, arXiv:1603.03416 [hep-th].

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# Outline

- 1) Nonlinear SUSY realizations, constrained superfields
- 2) String realization
- 3) Nilpotent supergravity
- 4) Inflation with nilpotent superfields
- 5) Conclusions

# 1) Non-linear SUSY, constrained superfields

- In supergravity, the gravitino  $\Psi$  becomes **massive** by absorbing the goldstino  $G$

$$\Psi_\mu \begin{pmatrix} 3/2 \\ - \\ - \\ -3/2 \end{pmatrix} + G \begin{pmatrix} - \\ 1/2 \\ -1/2 \\ - \end{pmatrix} = \Psi_\mu \begin{pmatrix} 3/2 \\ 1/2 \\ -1/2 \\ -3/2 \end{pmatrix}$$

Goldstino is part of a multiplet  $X = (x, G, F_X)$

One of the first written SUSY lagrangian had **nonlinearly realized SUSY**, Volkov-Akulov (VA), 1973.

$$\mathcal{L}_X = \int d^4\theta X^\dagger X + \left\{ \int d^2\theta f X + h.c. \right\}$$

$$= \det (E_\mu^a) , \quad \text{where} \quad E_\mu^a = e_\mu^a + \left( \frac{i}{2f^2} G \sigma^a \partial_\mu \bar{G} + h.c. \right)$$

is the VA "vierbein". In the standard VA prescription, couplings to matter proceed as in gravity

$$G^{\mu\nu} T_{\mu\nu, M} = g^{\mu\nu} T_{\mu\nu, M} + \left( \frac{i}{2f^2} G \sigma^\mu \partial^\nu \bar{G} + h.c. \right) T_{\mu\nu, M}$$

## - Constrained superfields

- VA action can be constructed in superspace (Rocek,78) introducing a **constrained, nilpotent** superfield

$$X^2 = 0$$

whose solution is

no fundamental scalar

$$X = \frac{GG}{2F_X} + \sqrt{2}\theta G + \theta^2 F_X$$

The full VA action is

$$\mathcal{L}_{VA} = \left[ X \bar{X} \right]_D + \left[ fX + h.c. \right]_F$$

Analogy with **the sigma model** :

- O(N) **linear** sigma model

$$\mathcal{L} = \partial_m \phi_a \partial^m \phi_a - \lambda (\phi_a \phi_a - v^2)^2$$

has 1 massive (« Higgs ») and N-1 goldstone bosons,  
versus the

- O(N)/O(N-1) **nonlinear** sigma model ( $\lambda \rightarrow \infty$  limit)

$$\mathcal{L} = \partial_m \phi_a \partial^m \phi_a$$

plus the constraint  $\phi_a \phi_a = v^2$ , which describes  
self-interactions of the N-1 goldstone's.

O(N) symmetry is **nonlinearly realized**.

There are two different cases to consider:

i) non-SUSY matter spectrum

$$E \ll m_{\text{particles}}, \sqrt{f}$$

➔ **non-linear** SUSY in the matter sector

ii) SUSY matter multiplets :  $(\tilde{q}, q)$  , etc

$$m_{\text{particles}} \leq E \ll \sqrt{f}$$

➔ **linear** SUSY in the matter sector

Case i) (non-linear matter)



additional  
constraints (KS)

- light fermions :  $X Q = 0$  eliminates (complex) scalars

$$Q = \frac{1}{F_X} \left( \Psi_q - \frac{F_q G}{2F_X} \right) G + \sqrt{2} \theta \Psi_q + \theta^2 F_q$$

- light complex scalars :  $X \bar{H} =$  chiral, eliminates fermions

$$H = h + i\sqrt{2}\theta\sigma^m\partial_m h \frac{\bar{G}}{\bar{F}_X} + \theta^2 \left[ -\partial_n \left( \frac{\bar{G}}{\bar{F}_X} \right) \bar{\sigma}^m \sigma^n \partial_m h \frac{\bar{G}}{\bar{F}_X} + \frac{1}{2\bar{F}_X^2} \bar{G}^2 \partial^2 h \right]$$

In this case, there is **no more** auxiliary field.

- light real scalar (inflaton ?) :  $X(\Phi - \bar{\Phi}) = 0$  eliminates a scalar (sinflaton ?), the fermion (inflatino ?) and the aux. field.



- Recent progress in the possible **UV origin** of superfield constraints (d'ADF; Kallosh, Karlsson, Mosk, Murli, 2016).
- Ex: **decoupling a fermion** with an UV operator

$$-\frac{m_\chi}{2f^2} \int d^4\theta \left[ |X|^2 D^\alpha Y D_\alpha Y + c.c. \right]$$

which decouples **only** the fermion ( $m_\chi \rightarrow \infty$ ) and produces the constraint

$$|X|^2 D_\alpha Y = 0, \quad (*)$$

The KS constraint  $\bar{X} D_\alpha Y = 0$  needs **two operators**

$$-\frac{m_h}{2f^2} \int d^4\theta \left[ |X|^2 D^\alpha \mathcal{H} D_\alpha \mathcal{H} + c.c. \right] - \frac{g_{FH}}{f^2} \int d^4\theta \left[ |X|^2 D^2 \mathcal{H} \bar{D}^2 \bar{\mathcal{H}} \right]$$

and eliminates also **the auxiliary field**, since it is equivalent to (\*) plus

$$|X|^2 D^2 Y = 0$$

## The inflaton constraint

$$X(\mathcal{A} - \overline{\mathcal{A}}) = 0.$$

can be analyzed similarly. It can be shown to be equivalent to

$$|X|^2(\mathcal{A} - \overline{\mathcal{A}}) = 0,$$

$$|X|^2 \overline{D}_{\dot{\alpha}} \overline{\mathcal{A}} = 0,$$

$$|X|^2 \overline{D}^2 \overline{\mathcal{A}} = 0. \quad (**)$$

The UV operators one needs are

$$\int d^4\theta \left[ \frac{m_b^2}{2f^2} |X|^2 (\mathcal{A} - \overline{\mathcal{A}})^2 - \frac{g_{FA}}{f^2} |X|^2 D^2 \mathcal{A} \overline{D}^2 \overline{\mathcal{A}} \right] - \frac{m_\zeta}{2f^2} \int d^4\theta \left[ |X|^2 D^\alpha \mathcal{A} D_\alpha \overline{\mathcal{A}} + c.c. \right].$$

However, each individual constraint in (\*\*) is independent of the others and can be imposed **separately**.

All superfield constraints can be written in terms of « **primary constraints** » of the type

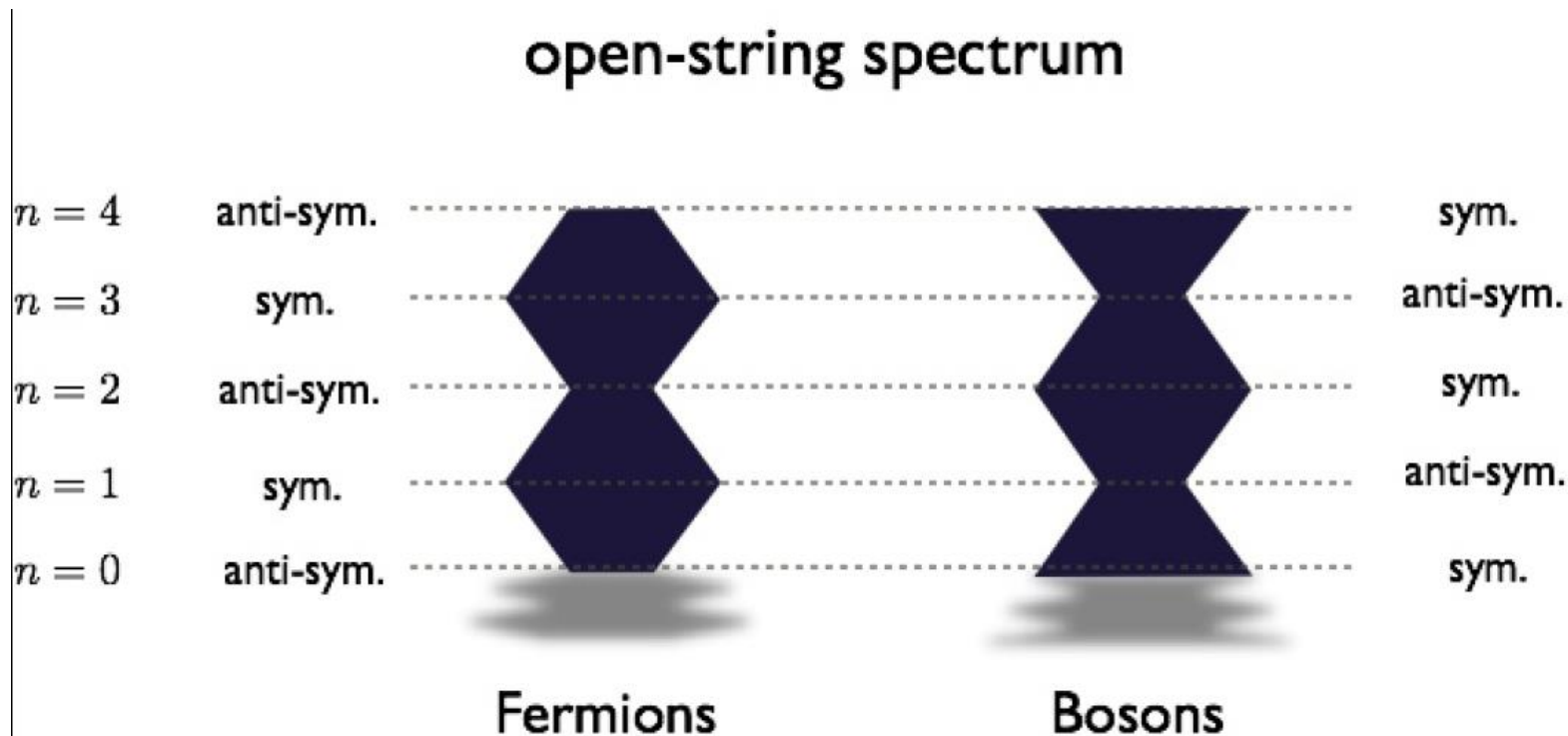
$$X \bar{X} Q_L = 0$$

which decouples **only one component** (scalar, fermion or auxiliary field).

Obtaining the constraints starting from simple UV models more difficult (E.D., Heurtier, Wieck, Winkler, 2016).

## 2) String realization

- The string theory realization of the nilpotent superfield is by the  $\overline{D3}/O3$  system (Kallosh, Quevedo, Uranga, 2015). Explicit similar string vacua known (Brane SUSY breaking: (Sugimoto; Antoniadis, E.D., Sagnotti, 1999;  $\overline{Dp}/Op_+$ ))





-SUSY is **non-linearly realized** on the antibranes (E.D., Mourad, 2000).

-For one  $\overline{D}_3$  on top of an  $O_3$  the only degree of freedom is the **goldstino**.

-The  $\overline{D}_3$  action can be written with the goldstino nilpotent superfield (Kallosh, Wrase, 2014; Bandos, Martucci, Sorokin, Tonin, 2015.)

$\overline{D}_3/O_3$

also used in the KKLT moduli stabilization. The KKLT « uplift » can be written in a manifestly supersymmetric way in the nilpotent goldstino formalism (Kallosh-Linde, 2014; Bergshoeff, Dasgupta, Kallosh, Van Proeyen, Wrase, 2015)

### 3) Nilpotent supergravities

In SUGRA, the most general couplings of the nilpotent  $X$  are described by (ADFS,2014)

$$K = -3 \log(1 - X \bar{X}) \equiv 3 X \bar{X}, \quad W = f X + W_0$$

and as a result

$$\mathcal{L}_{mass} = -m_{3/2} \left( \psi_m + \frac{i}{\sqrt{6}} \sigma_m \bar{G} \right) \sigma^{mn} \left( \psi_n + \frac{i}{\sqrt{6}} \sigma_n \bar{G} \right) + \text{h.c.}$$

The SUGRA lagrangian contains the proper **goldstino couplings** and

$$V = \frac{1}{3} |f|^2 - 3 |W_0|^2, \quad m_{3/2}^2 = |W_0|^2$$



Recently the complete lagrangian was written by Bergshoeff, Freedman, Kallosh, Van Proeyen and Hasegawa, Yamada (2015)

Consider the gravity multiplet,

$$(e_m^a, \psi_m^\alpha, u, A_m)$$



vierbein    gravitino    auxiliary fields

coupled to the goldstino multiplet  $X$ , in the decoupling limit. The theory contains actually just

**the graviton + one massive gravitino**

There should be a purely gravitational description, with a **modified/constrained gravity multiplet**.

# - Nilpotent supergravity (DFKS ; Antoniadis, Markou, 2015)

- This is described by

$$\mathcal{L} = [-S_0 \bar{S}_0]_D + [W_0 S_0^3]_F$$

with the constraint  $\left(\frac{\mathcal{R}}{S_0} - \lambda\right)^2 = 0$ , where :

- $\mathcal{R}$  = chiral **curvature**
- $S_0$  = chiral **compensator** field
- $\lambda$  is related to the cosmological constant  $\Rightarrow$

$$\bar{u} - \lambda = \frac{2i \widehat{\mathcal{D}}_\mu \bar{\psi}_\nu L \gamma^{\mu\nu} \gamma^{\rho\sigma} \widehat{\mathcal{D}}_\rho \psi_\sigma L}{\alpha + \frac{4\lambda^2}{3}} \left( 1 - \frac{8i\lambda}{3} \frac{\widehat{\mathcal{D}}_\mu \bar{\psi}_\nu R \gamma^{\mu\nu} \gamma^{\rho\sigma} \widehat{\mathcal{D}}_\rho \psi_\sigma R}{\left|\alpha + \frac{4\lambda^2}{3}\right|^2} \right)$$

where

$$\alpha = -\widehat{R} + \frac{2}{3} A_\mu^2 - 2i \widehat{\mathcal{D}}^\mu A_\mu,$$



whereas the **goldstino** is

$$G = -\frac{3}{2\lambda} \left( \gamma^{\mu\nu} \partial_\mu \psi_\nu - \frac{\lambda}{2} \gamma^\mu \psi_\mu \right)$$

This describes just the gravitational multiplet, with **nonlinear SUSY**.

We show this is exactly **dual** to the Volkov-Akulov SUGRA :  
Introduce two lagrange multipliers  $X, \Lambda_1$  that « linearize »  
the lagrangian

$$\mathcal{L} = \left[ -S_0 \bar{S}_0 \right]_D + \left[ \left\{ X \left( \lambda - \frac{\mathcal{R}}{S_0} \right) - \frac{1}{4\Lambda_1} X^2 + W_0 \right\} S_0^3 \right]_F$$



Use the identity

$$\left[ f(\Lambda) \mathcal{R} S_0^2 \right]_F + \text{h.c.} = \left[ (f(\Lambda) + \bar{f}(\bar{\Lambda})) S_0 \bar{S}_0 \right]_D + \text{tot. deriv.}$$

which leads to

$$\mathcal{L} = \left[ - (1 + X + \bar{X}) S_0 \bar{S}_0 \right]_D + \left[ \left\{ \lambda X - \frac{1}{4\Lambda_1} X^2 + W_0 \right\} S_0^3 \right]_F$$

One finally finds a standard SUGRA with

$$K = -3 \ln (1 + X + \bar{X}) , \quad W = W_0 + \lambda X$$

plus the constraint  $X^2 = 0$  , or equivalently

$$K = 3 |X|^2 , \quad W = W_0 + (\lambda - 3 W_0) X$$

Coupling to matter (chiral multiplets  $Q$ ) in nilpotent SUGRA can be implemented starting from

$$\mathcal{L} = \left[ - e^{-\frac{1}{3} K_0(Q_i, \bar{Q}_{\bar{i}})} S_0 \bar{S}_0 \right]_D + [W_0(Q_i) S_0^3]_F$$

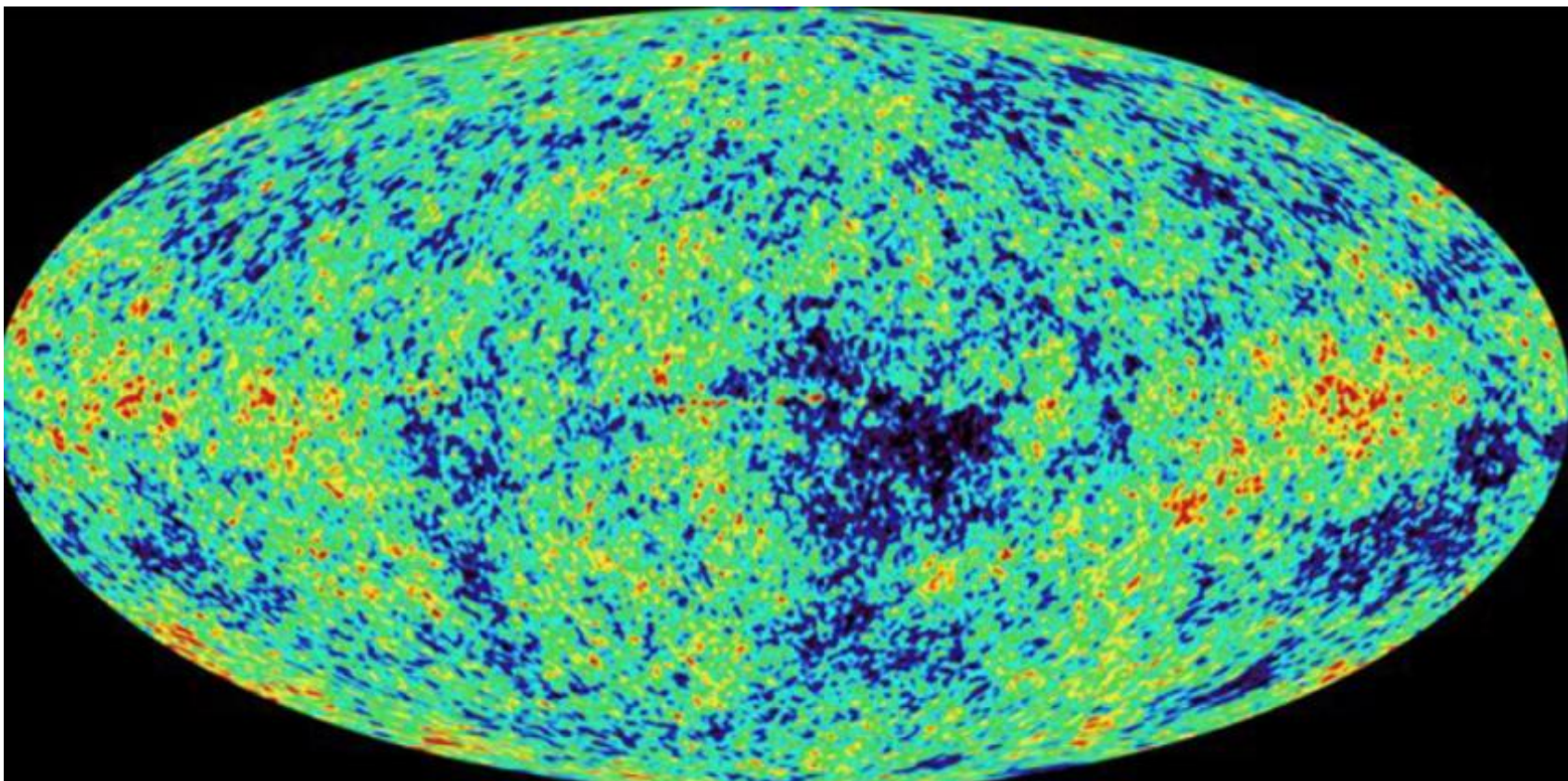
supplemented by the nilpotency constraint

$$\left( \frac{\mathcal{R}}{S_0} - f(Q_i) \right)^2 = 0$$

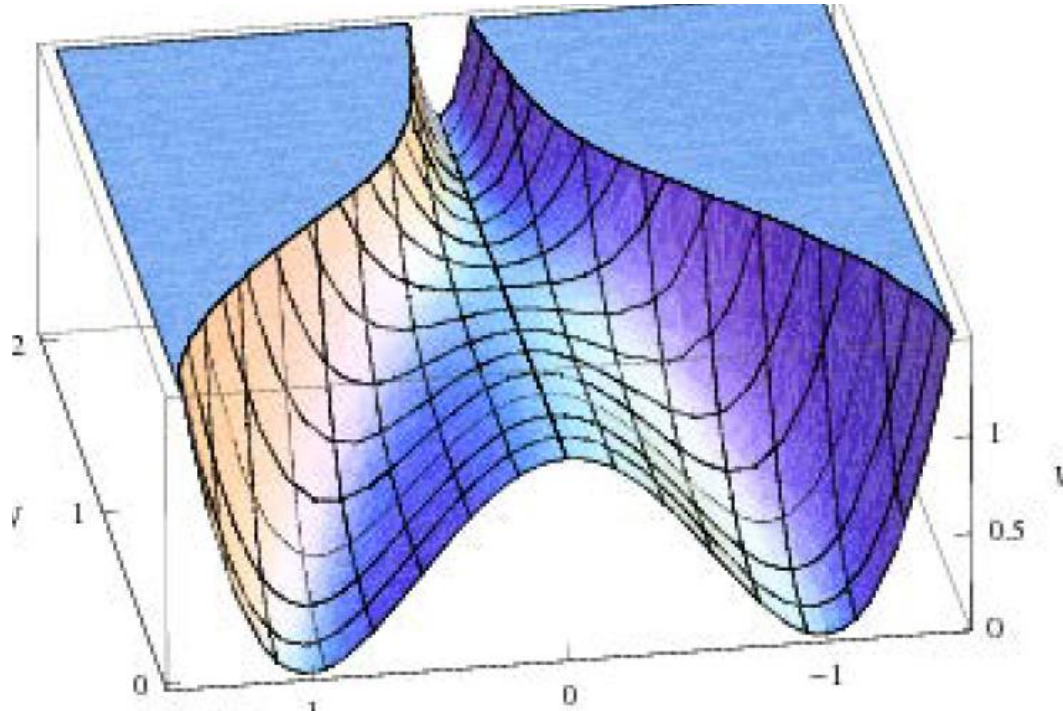
It can be shown that there is a **consistency condition** on the couplings

$$K_{0,\bar{i}} K_0^{\bar{i}j} K_{0,j} < 3$$

## 4) Inflation with nilpotent superfields



Cosmological data favors models with **one** light inflation



Simplest SUGRA models contain 2 complex scalars for inflation and 3 complex scalars if SUSY breaking.

Desirable to have **simpler models**.

Use nilpotent **constraints to eliminate fields** (ADFS,2014; Kallosh-Linde; talks Kallosh, Kehagias, Quevedo, Wrase).



Simplest models: inflaton multiplet +  $X$  ,  $X^2 = 0$

There are **consistency conditions** to be satisfied (Dall'Agata-Zwirner,2014) :

- $F_X \neq 0$  during inflation and afterwards
- **unitarity**. In flat background, unitarity valid for

$$E^2 < m_{3/2} M_P$$

During inflation, this should be replaced by

$$E_q^2 < m_{3/2}(\varphi) M_P$$

where  $E_q \sim V_{\text{inf}}^{1/2}(\varphi)/M_P$  is the typical energy scale of quantum fluctuations during inflation.

Several inflationary models constructed afterwards  
 ((Ferrara) Kallosh, Linde, Dall'Agata-Zwirner... 2014-2016)

**Simple models** constructed based on

$$K = \frac{1}{2}(\Phi + \bar{\Phi})^2 + |X|^2 \quad , \quad W = f(\Phi)(1 + \sqrt{3}X)$$

with  $f(0) \neq 0, f'(0) = 0$  and  $\overline{f(x)} = f(-\bar{x})$

The inflationary potential is  $V = \left| f' \left( i \frac{\varphi}{\sqrt{2}} \right) \right|^2$

Inflationary energy is **decoupled** from the gravitino mass:  
 possible to have GUT vacuum energy with low (TeV) gravitino mass.

Explicit examples :

$$f(\Phi) = f_0 - \frac{m}{2}\Phi^2 \quad (\text{chaotic inflation})$$

$$f(\Phi) = f_0 - i\sqrt{V_0}\left(\Phi + i\frac{\sqrt{3}}{2}e^{\frac{2i\Phi}{3}}\right) \quad (\text{Starobinsky})$$

Perturbative **unitarity** OK if

$$\left|f'\left(i\frac{\varphi}{\sqrt{2}}\right)\right| < \left|f\left(i\frac{\varphi}{\sqrt{2}}\right)\right|$$

This is satisfied in both examples and is **insensitive** on the scale of SUSY breaking in the vacuum.



In nilpotent SUGRA, coupling to matter can lead to **positive definite potentials** and **inflation** (DFKS)

$$K_0 = -3 \ln \left( 1 - \frac{1}{2} (\Phi + \bar{\Phi})^2 - |Q|^2 \right)$$

$$W = W_0(\Phi, Q_i) + f(\Phi, Q_i) X$$

where

$$W_0 = \alpha(\Phi) + \frac{1}{2} b_{ij} Q_i Q_j + \frac{1}{6} \lambda_{ijk} Q_i Q_j Q_k$$

$$f = 6\alpha(\Phi) + b_{ij} Q_i Q_j ,$$

The scalar potential is a generalization of Dall'Agata-Zwirner

$$V = \frac{1}{3Y^2} \left\{ \sum_i \left| \frac{\partial W_0}{\partial Q_i} \right|^2 + \left| \frac{\partial W_0}{\partial \Phi} \right|^2 + \frac{1}{2} (\Phi + \bar{\Phi})^2 |f|^2 + (\Phi + \bar{\Phi}) \left( \bar{f} \frac{\partial W_0}{\partial \Phi} + \text{h.c.} \right) \right\}$$

# Conclusions, Perspectives

- Volkov-Akulov SUGRA has a description with **only the gravity multiplet**  $\longrightarrow$  **nilpotent SUGRA**. **Constraints** on couplings to matter worked out . Component action ?
- Progress in the **UV origin** of constrained superfields. **Several constraints possible** to eliminate fermions, different effective actions.
- Using **constrained superfields** one can now construct simpler models (for ex. **only the inflaton + gravity multiplet**); SUSY automatically broken.

- **Consistency conditions** to be satisfied in nilpotent SUGRA during inflation. Are they sufficient ?
- Minimal framework to include **moduli stabilization, reheating**.

# Thank you

# Backup slides



## Large literature on SUSY non-linear realizations and low-energy goldstino interactions

- Volkov-Akulov, Ivanov-Kapustnikov
- - Siegel
- Casalbuoni, Dominicis, de Curtis, Feruglio, Gatto
- Brignole, Feruglio, Zwirner; Brignole
- Komargodski and Seiberg (KS)...