

Type II model building at the crossroads between (astro)particle physics and moduli stabilisation

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based on various collaborations, in particular with
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Alexander Seifert, **Wieland Staessens**

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String Pheno 2016, Ioannina, 23 June 2016



Motivation: String Theory - Anything / Nothing Goes??

Current Status:

▶ particle physics

- ▶ several landscapes of (B)SM vacua (✓)

see e.g. talks by Nilles, Faraggi, Ovrut, Palti, Cvetič, Lukas, Abel

- ▶ typically SUSY @ M_{string}

- ▶ controlled SUSY: gaugino condensate

- ▶ ...? Fluxes? Polonyi term?

- ▶ non-SUSY possible if $M_{\text{string}} \sim \mathcal{O}(\text{TeV})$

- ▶ ... excess of vector-like states ... **BSM signatures** at colliders?

see e.g. talks by Antoniadis, Lüst

▶ cosmology

- ▶ dS vacua?

- ▶ complete **moduli** stabilisation?

- ▶ inflationary potentials e.g. with **axions**?

see e.g. plenary talks by Shiu, Blumenhagen, Partouche, Kallosh, Kiritsis, Cicoli, Quevedo, Marchesano,

Staessens, Ibáñez, Hebecker, Reece + many parallel talks

▶ mutual consistency??

↪ need to **reunite** (astro)particle & cosmological considerations

Old paradigm in Type II:

- ▶ gravity on closed strings
- ▶ gauge sector on D-branes

↪ cosmo & particle physics decoupled

But:

- ▶ 4D (matter) field theory depends on dilaton & moduli
- ▶ moduli stabilisation changes geometry ↪ D-branes affected
- ▶ '*(anti) D-brane uplifting*' used to generate dS vacua
- ▶ ~~SUSY~~ mediation (hidden → observable sector) via gravity
- ▶ open & closed (pseudo)scalars mix, ν 's, $U(1)$'s ...

↪ *see later*

Technically challenging:

- ▶ geometric understanding with fluxes
- ▶ control over field theory
for general (CY_3) backgrounds (without/with fluxes)

Vast misconception:

- ▶ large string landscape does **not** lead to arbitrary new physics

BSM Features: Particle Spectrum

BSM Features from Type II String Theory

Group theory on D-branes:

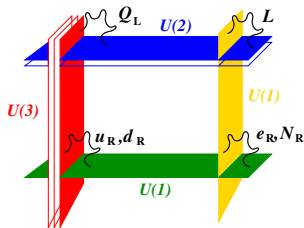
- ▶ charges at endpoints of open strings:
($\mathbf{N}_a, \overline{\mathbf{N}}_b$), (\mathbf{Adj}_a) of $U(N_a) \times U(N_b)$
($\mathbf{N}_a, \mathbf{N}_b$), (\mathbf{Anti}_a), (\mathbf{Sym}_a)
- ▶ $U(1)_{\text{massless/massive}}$ linear combinations
 - ▶ $SU(5)$ GUTs
 - ▶ $SU(4) \times SU(2)_L \times SU(2)_R$ ✓
 - ▶ $SU(3) \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$ ✓
 - ▶ $SU(3) \times SU(2) \times U(1)_Y$ ✓

Cvetič *et al.*; G.H. with Ott, Gmeiner, Ripka, Staessens, Ecker; ...

- ▶ $U(N) \rightarrow SO(2N)$: no spinor reps.
↪ very limited choice of gauge groups & reps.

Hidden sectors & SM exotics:

- ▶ typically small rank, e.g. at most $SU(4)$
 - ▶ critical for ~~SUSY~~ via gaugino condensation
- ▶ since $\prod_a U(N_a) \subset SO(32)$: hard to hide G_{hidden} completely
 - ▶ vector-like exotics can acquire masses



Heterotic $SO(32)$

- ▶ S-dual to Type I

Heterotic $E_8 \times E_8$ & F-Theory

- ▶ slight enhancement of representations $\subset (\mathbf{Adj}_{E_8})$
- ▶ completely *hidden* sector ✓
- ▶ het.: geometric intuition?
- ▶ F-theory: control over field theory for g_{string} large??

Type IIA Example

Example: D6-Branes on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_6 \times \Omega\mathcal{R})$

Why IIA string theory on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_6 \times \Omega\mathcal{R})$?

▶ on **orbifolds**:

- ▶ SUSY (D6-branes) = *sLag* condition ✓
- ▶ CFT methods for spectrum ✓ & interactions (✓)

$$\theta^m \omega^n : z^k \rightarrow e^{2\pi i m v_k + n w_k} z^k \quad \begin{cases} \mathbb{Z}_2 : \vec{v} = \frac{1}{2}(1, -1, 0) \\ \mathbb{Z}_6 : \vec{w} = \frac{1}{6}(0, 1, -1) \end{cases} \quad \mathcal{R} : z^k \rightarrow \bar{z}^k$$

- ▶ discrete torsion possible due to $\mathbb{Z}_2 \times \mathbb{Z}_2$ subgroup:

$$\begin{pmatrix} h_{11} \\ h_{21} \end{pmatrix} = \begin{pmatrix} 3 + 2 \times 4_{\mathbb{Z}_6} + 8_{\mathbb{Z}_3} \\ 1_{\text{bulk}} + 2_{\mathbb{Z}_6} + 2_{\mathbb{Z}_3} + (6 + 2 \times 4)_{\mathbb{Z}_2} \end{pmatrix} = \begin{pmatrix} 19 \\ 19 \end{pmatrix}$$

- ▶ many **3-cycles** to wrap D6-branes

Ex: MSSM on rigid D6-branes on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_6 \times \Omega\mathcal{R})$

Ecker, G.H., Staessens '15

► **MSSM matter** of $(SU(3)_a \times USp(2)_b \times SU(4)_h)_{U(1)_Y}^{(U(1)_{PQ}, \mathbb{Z}_3)}$:

$$3 \times [(\mathbf{3}, \mathbf{2}, \mathbf{1})_{1/6}^{(0),0} + 2 \times (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{1})_{1/3}^{(1),1} + (\mathbf{3}, \mathbf{1}, \mathbf{1})_{-1/3}^{(1),1} + (\bar{\mathbf{3}}, \mathbf{1}, \mathbf{1})_{-2/3}^{(1),1}]$$

$$+ 3 \times [(\mathbf{1}, \mathbf{2}, \mathbf{1})_{1/2}^{(1),1} + 2 \times (\mathbf{1}, \mathbf{2}, \mathbf{1})_{-1/2}^{(1),1} + (\mathbf{1}, \mathbf{1}, \mathbf{1})_0^{(-2),1} + (\mathbf{1}, \mathbf{1}, \mathbf{1})_1^{(0),0}]$$

$$= 3 \times [Q_L + 2 \times d_R + \bar{d}_R + U_R] + 3 \times [H_u/\bar{L} + 2 \times L + \nu_R + e_R]$$

► **Higgses:** $3 \times [(\mathbf{1}, \mathbf{2}, \mathbf{1})_{1/2}^{(1),1} + h.c.] + \tilde{2} \times [(\mathbf{1}, \mathbf{2}, \mathbf{1})_{1/2}^{(-1),2} + h.c.]$

► **axions:** $3 \times [\Sigma^{cd} + \tilde{\Sigma}^{cd}] = 3 \times [(\mathbf{1}, \mathbf{1}, \mathbf{1})_0^{(-2),1} + h.c.]$

► **SM vector-like states:** $(5_{\text{Anti}_b} + 4_{\text{Adj}_c} + 5_{\text{Adj}_d}) \times (\mathbf{1}, \mathbf{1}, \mathbf{1})_0^{(0),0} +$

$$+ [2 \times (\mathbf{3}, \mathbf{1}, \bar{\mathbf{4}})_{1/6}^{(0),1} + (\mathbf{3}, \mathbf{1}, \mathbf{4})_{1/6}^{(0),2} + 2 \times (\mathbf{3}_A, \mathbf{1}, \mathbf{1})_{1/3}^{(0),0} + h.c.]$$

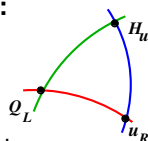
$$+ [3 \times (\mathbf{1}, \mathbf{1}, \mathbf{1})_1^{(0),0} + (\mathbf{1}, \mathbf{1}, \mathbf{1})_1^{(-2),1} + 2 \times (\mathbf{1}, \mathbf{1}, \mathbf{6}_A)_0^{(0),1} + h.c.]$$

$$+ 3 \times (\mathbf{1}, \mathbf{2}, \mathbf{4})_0^{(0),2} + 6 \times (\mathbf{1}, \mathbf{1}, \bar{\mathbf{4}})_{-1/2}^{(-1),0} + 3 \times (\mathbf{1}, \mathbf{1}, \bar{\mathbf{4}})_{1/2}^{(-1),0} + 3 \times (\mathbf{1}, \mathbf{1}, \mathbf{4})_{1/2}^{(-1),1}$$

Yukawa Couplings and Masses for Exotics

Matter couplings from D-brane intersections:

▶ e.g.: $y_u^{(ijk)} Q_L^{(i)} \cdot \tilde{H}_u^{(j)} u_R^{(k)}$



off-diagonal	diagonal
$y_u^{(221)} \sim \mathcal{O}\left(e^{-\frac{16v_2+v_3}{48}}\right)$	$y_u^{(121)} \sim \mathcal{O}\left(e^{-\frac{4v_2+v_3}{48}}\right)$
$y_u^{(312)} \sim \mathcal{O}\left(e^{-\frac{v_2+16v_3}{48}}\right)$	$y_u^{(313)} \sim \mathcal{O}\left(e^{-\frac{v_2+4v_3}{48}}\right)$

v_i : volume of $T^2_{(i)}$

- ▶ 3rd generation heavier if $v_3 < v_2$
- ▶ diagonal terms dominant if $v_2 < 5v_3$

\rightsquigarrow **mild anisotropy** phenomenologically favoured

Here: free choice of parameters fits to pheno. acceptable scales

\rightsquigarrow fits for **gauge couplings** along same lines

Challenge: large masses from e.g. $\bar{d}_R^{(3)} \Sigma^{cd(2)} d_R^{(6)} \sim \mathcal{O}\left(e^{-\frac{v_2+v_3}{12}}\right)$

Gauge Couplings @ 1-Loop and the Value of M_{string}

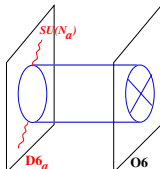
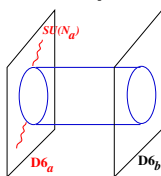
Gauge couplings \leftrightarrow tuning of volumes:

- ▶ tree-level gauge coupling

$$\mu_6 \int_{\Pi} e^{-\Phi} \text{tr} F_{\mu\nu} F^{\mu\nu} \rightarrow \frac{\text{Vol}_3}{4 g_{\text{string}}} \int_{4D} \text{tr} F_{\mu\nu} F^{\mu\nu}$$

on $(T^2)^3/\Gamma$: $\text{Vol}_3 = \sqrt{v_1 v_2 v_3}$

- ▶ 1-loop correction via CFT - works on orbifolds only:



$$\sim \frac{b_a}{2} \ln \frac{M_{\text{string}}^2}{\mu^2} + \frac{\Delta_a}{2}$$

Lüst, Stieberger '03; Blumenhagen *et al.* '07; Gmeiner, G.H. '09

- ▶ from Δ_a :

v_i : Kähler modulus of $T^2_{(i)}$

$$\Re(\delta_b^{1\text{-loop}} f_{SU(N_a)}) \supset -\frac{\tilde{b}_{ab}^{\mathcal{A},(i)}}{4\pi^2} \ln \left(e^{-\frac{\pi(\sigma_{ab}^i)^2 v_i}{4}} \vartheta_1 \left(\frac{\tau_{ab}^i - i\sigma_{ab}^i v_i}{2}, i v_i \right) \right) \quad v_i \rightarrow \infty \quad \pm v_i$$

- ▶ $M_{\text{string}} \ll M_{\text{GUT}}$: tree \leftrightarrow 1-loop cancellation

\rightsquigarrow new avenues for string phenomenology

G.H., Ripka, Staessens '12 ...

Example of Fine Tuning in Gauge Couplings @ 1-Loop

G.H., Koltermann, Staessens: in preparation

MSSM example on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_6 \times \Omega\mathcal{R})$

- ▶ $\text{Vol}(\Pi_{a,h}) = R_1^{(1)} r^{(2)} r^{(3)} = \frac{1}{3} \text{Vol}(\Pi_{b,c,d})$ with $\frac{1}{g_{a,\text{tree}}^2} \propto \frac{\text{Vol}(\Pi_a)}{k_a}$
 $k_a = 1, 2$ for $SU(N_a), USp(2N_a)$

$$\frac{1}{g_{SU(3)_a}^2} = \frac{1}{g_{SU(4)_h}^2} = \frac{2}{3} \frac{1}{g_{USp(2)_b}^2} = \frac{6}{19} \frac{1}{g_{U(1)_Y}^2} \quad \text{@ tree level}$$

- ▶ **1-loop corrections: annulus contributions only**

$$\delta_{\mathcal{A}}^{\text{loop}} \frac{1}{g_{SU(3)_a/SU(4)_h}^2} \xrightarrow{v_i \rightarrow \infty} \frac{v_1}{4} \pm \frac{v_2}{24}$$

$$\delta_{\mathcal{A}}^{\text{loop}} \frac{1}{g_{USp(2)_b}^2} \xrightarrow{v_i \rightarrow \infty} \frac{7}{12} \frac{v_1}{24} - \frac{v_2}{24} - \frac{v_3}{16}$$

$$\delta_{\mathcal{A}}^{\text{loop}} \frac{1}{g_{U(1)_Y}^2} \xrightarrow{v_i \rightarrow \infty} \frac{25}{18} \frac{v_1}{72} + \frac{7}{72} \frac{v_2}{72}$$

- ▶ degeneracy lifted: $\frac{1}{g_{SU(3)_a,\text{loop}}^2} > \frac{1}{g_{SU(4)_h,\text{loop}}^2}$

- ▶ if $v_2 \gg v_1$: $\frac{1}{g_{G,\text{loop}}^2} < \frac{1}{g_{G,\text{tree}}^2}$ for $G = SU(4)_h, USp(2)_b$

Generic BSM Features: Scales & Field Theory

General insight from Type II:

- ▶ $M_{\text{string}} \ll M_{\text{GUT}}$ possible
 - ▶ depends on 1-loop cancellations for gauge couplings
- ▶ unisotropic compact directions often pheno. preferred
- ▶ fine tuning of SM singlet vevs for Yukawas & masses_{vector-like}
↪ further complicated by interplay with cosmological constraints
- ▶ discrete $\mathbb{Z}_n \subset U(1)_{\text{massive}}$ symmetries
Berasaluze-Gonzalez, Ibáñez, Soler, Uranga '11; G.H., Staessens '13
- ▶ new particles severely constrained by ~~$(\mathbf{N}_a, \mathbf{N}_b, \mathbf{N}_c)$~~ , ~~$(\mathbf{N}_a, \mathbf{Adj}_b)$~~ ,
 ~~$(\mathbf{N}_a, \mathbf{Anti}_b)$~~ ...

So far:

- ▶ all closed string moduli (& dilaton) as free parameters

Moduli Stabilisation at the orbifold point

Twisted Moduli Stabilisation on Orbifolds

Blaszczyk, G.H., Koltermann '14-15

- ▶ IIA language: **complex structures** couple to D6-branes
 - ▶ **stabilisation** by **D-terms** of $U(1)$ factors ($\Leftrightarrow \int_{\Pi_a} \text{Im}(\Omega_3) \neq 0$)

$$\begin{aligned} \Pi_a^{\text{frac}} &= \frac{1}{4} \Pi_a^{\text{bulk}} + \frac{1}{4} \sum_{i=1}^3 \Pi_a^{Z_2^{(i)}} = \Pi_{a,\text{even}}^{\text{frac}} + \Pi_{a,\text{odd}}^{\text{frac}} \\ &= \frac{1}{4} (P_a \rho_1 + Q_a \rho_2 + U_a \rho_3 + V_a \rho_4) + \frac{1}{4} \sum_{\alpha=0}^5 \left(x_{\alpha,a}^{(1)} \varepsilon_{\alpha}^{(1)} + y_{\alpha,a}^{(1)} \tilde{\varepsilon}_{\alpha}^{(1)} \right) + \frac{1}{4} \sum_{l=2,3} \sum_{\alpha=1}^4 \left(x_{\alpha,a}^{(l)} \varepsilon_{\alpha}^{(l)} + y_{\alpha,a}^{(l)} \tilde{\varepsilon}_{\alpha}^{(l)} \right), \end{aligned}$$

- ▶ modulus stabilised if $\Pi_{a,\text{odd}}^{\text{frac}} \neq 0$ contribution ($\leq h_{21}^1 + \mathbb{Z}_2$)
- ▶ flat direction otherwise
 - ▶ either: gauge couplings change
 - ▶ or: particle physics insensitive

models from Ecker, G.H., Staessens '14-15

Counting of stabilised complex structure moduli & flat directions with $1/\varepsilon_{D6}^2$ dependence

$\mathbb{Z}_2 \times \mathbb{Z}_6$	ϱ	$\varepsilon_{0,1,2}^{(1)}$	$\varepsilon_3^{(1)}$	$\varepsilon_{4+5}^{(1)}$	$\varepsilon_{4-5}^{(1)}$	$\varepsilon_{1,2}^{(2)}$	$\varepsilon_{3,4}^{(2)}$	$\varepsilon_{1,2}^{(3)}$	$\varepsilon_{3,4}^{(3)}$	#stab
MSSM	none		a, c, h		$[b, d]_{\text{flat}}$	c, d	none	a, d, h	none	6
PS I	none		a, h		$[b, c]_{\text{flat}}$	$[a, b, c, h]_{\text{flat}}$	none	a, h	none	4
PS II	none	h	a, h	a	$[b, c]_{\text{flat}}$	$[a, b, c, h]_{\text{flat}}$	none	a, h	none	7
L-R I	none	$h_{1,2}$	$a, d, h_{1,2}$	a, d	$[b, c]_{\text{flat}}$	$h_{1,2}$	none	a, d	none	9
L-R II	none	$h_{1,2}$	$a, d, h_{1,2}$	a, d	$[b, c]_{\text{flat}}$	$[a, b, c, d, h_{1,2}]_{\text{flat}}$	none	$a, d, h_{1,2}$	none	7
L-R IIb	none	$h_{1,2}$	$a, d, h_{1,2}$	a, d	$[b, c]_{\text{flat}}$	$[a, b, c, d]_{\text{flat}}$	$[h_{1,2}]_{\text{flat}}$	a, d	$h_{1,2}$	9
L-R IIc	none		a, d	a, d	$[b, c, h_{1,2}]_{\text{flat}}$	h_1	h_2	a, d, h_1	h_2	10

Twisted Moduli Stabilisation on Orbifolds cont'd

- ▶ SM example on $T^6/(\mathbb{Z}_2 \times \mathbb{Z}_6 \times \Omega\mathcal{R})$:
 - ▶ 6 c.s. expected to be stabilised
 - ▶ $8 = 1_{\text{bulk}} + 7_{\mathbb{Z}_2}$ c.s. flat & decoupled
 - ▶ $1_{\mathbb{Z}_2}$ c.s. flat & coupling to $USp(2)_b \times U(1)_Y$
($\frac{1}{g_x^2} \propto \text{Vol}_x \propto \text{Vol}_x^0 \mp \sqrt{\varepsilon_{4-5}^{(1)}}$ behaviour expected)
 \Leftrightarrow interplay with 1-loop corrections to gauge couplings

G.H., Koltermann, Staessens in progress

- ▶ twisted **Kähler** moduli **decoupled** from particle physics

Further stabilisation by fluxes:

- ▶ backreaction on geometry
- ▶ fluxes & chirality per sector exclude each other ($\frac{1}{2}$)

Towards Moduli Stabilisation by Non-Factorisable Tori

Towards Model Building on Non-Factorisable Backgrounds

Berasaluze-González, G.H., Seifert '16, cf. Seifert's talk on Tuesday

Motivation:

- ▶ 3-form flux on $T^3 \times \dots$ geometry
- ▶ *a priori* less closed string moduli

cf. CFT methods "local" RR tadpole cancellation: Blumenhagen, Conlon, Suruliz '04

Example: $T^6/(\mathbb{Z}_4 \times \Omega\mathcal{R})$ with $\vec{v} = \frac{1}{4}(1, -2, 1)$

- ▶ possible: $(T^2)^3$ or $(T^3)^2$ or $T^3 \times T^1 \times T^2$
 - ▶ 3-cycle geometry rich enough for model building
 - ▶ (pairwise) symmetries between lattice orientations under $\Omega\mathcal{R}$
 - ▶ twice as many backgrounds /Blumenhagen et al./: $\mathbf{A}_a\mathbf{AA}$ and $\mathbf{A}_b\mathbf{AA}$
 - ▶ geometric method for generic values of moduli
 - ▶ **new:** fractional *Lags* can be rewritten on $(T^2)^3$
↪ CFT methods accessible for spectrum & interactions

Berasaluze-González, G.H., Seifert: in progress

Open String Axions & Open-Closed Mixing

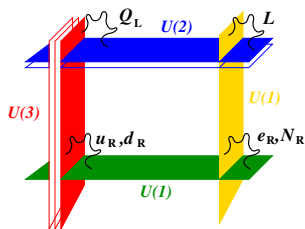
Axions in Type II: from QCD to the Dark Sector

- ▶ closed string axions = partners to Kähler & c.s. moduli
- ▶ **open string axions** can carry $U(1)_{PQ}$ charge: **QCD axion**

G.H., Staessens '13

- ▶ **limited choice** of $U(1)_{PQ}$
 $(\perp U(1)_Y = \frac{U(1)_{a/3} + U(1)_c + U(1)_d}{2})$

- ▶ $U(1)_b$ with $(\mathbf{1}_{\text{Anti}_b})_2$ or $(\overline{\mathbf{1}}_{\text{Anti}_b})_{-2}$
- ▶ or $U(1)_{c-d}$ with $(\mathbf{1}^{cd})_{\pm 2}$
 \leftrightarrow scalars $\tilde{\nu}_R$



- ▶ fits into **SUSY DFSZ axion model** with twice axion charge

$$V = V_F + V_D + V_{\text{soft}}$$

- ▶ in the **explicit example** on $\mathbb{Z}_2 \times \mathbb{Z}_6$:

Ecker, G.H., Staessens '15

- ▶ $U(1)_{PQ} \times \mathbb{Z}_3$ charges $\rightsquigarrow \mathcal{W}_{\text{DFSZ}} = \mu \Sigma H_u \cdot \tilde{H}_d + \tilde{\mu} \tilde{\Sigma} \tilde{H}_u \cdot H_d$
- ▶ Σ also generates other mass terms: $\mathcal{W} \supset \kappa \bar{d}_R \Sigma d_R$

Open & Closed Axion Mixing in Field Theory

- ▶ open string axion a from $\sigma = \frac{v+s(x)}{\sqrt{2}} e^{i\frac{a(x)}{v}}$
- ▶ **open** axion a mixes with **closed** axion ξ ($\leftarrow U(1)_{\text{massive}}$)

$$\zeta_{\text{massive}} = \frac{M_{\text{string}} \xi + qv a}{\sqrt{M_{\text{string}}^2 + q^2 v^2}}, \quad \alpha_{\text{massless}} = \frac{M_{\text{string}} a - qv \xi}{\sqrt{M_{\text{string}}^2 + q^2 v^2}}$$

- ▶ axion **decay constants** from dim. reduction:

$$f_{\zeta} = \frac{\sqrt{M_{\text{string}}^2 + (qv)^2}}{2}, \quad f_{\alpha} = \frac{M_{\text{string}} qv \sqrt{M_{\text{string}}^2 + (qv)^2}}{(M_{\text{string}}^2 - (qv)^2)}$$

- ▶ For $M_{\text{string}} \gg v$ (field theory regime): $\zeta \simeq \xi_{\text{closed}}$, $\alpha \simeq a_{\text{open}}$

\rightsquigarrow approximation of purely **open string** as **QCD axion** good

Conclusions & Outlook

- ▶ string theory as unification of gravity & QFT very **predictive**
 - ▶ Type II: endpoints of open strings constrain new physics
- ▶ need to re-combine **particle physics & cosmological** issues
 - natural starting point: open/closed axions*
- ▶ **partial** moduli **stabilisation** by D-branes
- ▶ **complete** moduli **stabilisation** might be very **unattractive**
 - ▶ fits to particle physics data
 - ▶ slow roll inflation
- ▶ **plethora** of stringy SM & GUT **spectra**, but missing: exact **field theory** results
 - ▶ tree level Yukawas on T^6/Γ
 - ▶ moduli dependence after deformation ... on generic CY_3
 - ▶ instanton corrections computationally intensive
 - ▶ **reliable** results on moduli potentials ...